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REFERENCE: **INDUSTRY COMPLIANCE SELF-ASSESSMENT** CONTACT PERSON: **MR JAN SAMBO**

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22 February 2021

## **INDUSTRY CIRCULAR NO 001/21**

**TO: ALL SECURITY SERVICE PROVIDERS**

**FROM: OFFICE OF THE DIRECTOR - PSIRA**

**SUBJECT: INTRODUCTION OF THE INDUSTRY COMPLIANCE SELF-ASSESSMENT TOOL BY SECURITY SERVICE PROVIDERS IN TERMS OF THE PSIR OF ACT 56 OF 2001**

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### **1. Introduction**

The mission of the Authority is to provide "Effective regulation of the Private Security Industry to enable professional and respected security service providers". Further that, its mandate is to promote a legitimate private security industry that acts in terms of the principles contained in the Constitution and other applicable laws, as well as promoting a private security industry that are characterised by professionalism, transparency and accountability.

### **2. Regulation of the Industry**

The Authority's primary objective is the regulation of the private security industry and exercising effective control over the practice of the occupation of security service provider in the public and national interest, and the interest of the private security industry. Amongst its obligations, the Authority aims to promote and encourage compliance with security standards and the Code of Conduct for Security Service Providers.

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Council Members: Dr Leah Mofomme (Chairperson)

Mr Matome Solomon Ralebipi (Deputy Chairperson); Humphrey Nhlanhla Ngubane (Council Member), Dr Sithembile Nombali Mbete (Council Member),

Ms Thandeka Ntshangase (Council Member)

Compliance with existing legislation by security service providers is being promoted and controlled through a process of active monitoring and investigation of the affairs of security service providers.

As part of this function of exercising control over the occupation of security service providers, the Authority continuously strives to develop new mechanisms and tools to achieve this objective. This allows for alligning ourselves with best practices as found in the business world in general, but also as practised by other stakeholders closer to home.

### **3. Industry Compliance Self-Assessment Tool**

In accordance with the section 4(bb) of the Act , the Authority has introduced the Industry's first Compliance Self-Assesment Tool to assist the sector to measure its own compliance level with legal prescripts and other obligations. Section 4(bb) of the Act provides the functions of the Authority to include among others determining minimum internal control systems for security businesses, including but not limited to , accounting and reporting procedures and any other procedures or systems that may be directed to the security service providers to comply in regulating the industry.

The monitoring of compliance by security service providers within the private security industry is currently done through random and scheduled physical inspections, investigations and ultimate prosecution of non-compliant security service providers. Whilst the current compliance and regulatory inspections will still remain in place, the self-assessment tool is mandatory. That is, it will not replace the aforesaid normal regulatory monitoring of the industry in terms of Section 33 of the Private Security Industry Regulation Act 56 of 2001.

### **4. Appointment of a Compliance Officer**

The Service Provider will be required to designate a "Compliance Officer" from amongst its existing supervisory and Management staff to be entrusted with the responsibility to perform and or fufill obligations required in terms of the new self-assessment tool.

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## 5. Conclusion

The Authority will publish a self-explanatory guide to facilitate easy reporting in terms of the new self-assessment tool. The guide will outline the procedure for the completion of the assessment, obligations in terms of the new tool, reporting processes, consequences for non-compliance as well as frequently asked questions.

We have no doubt that this self-assessment initiative will not only assist to improve compliance within the industry but will also advance the industry towards the required maturity and professionalism. Any enquiries may be directed to the Law Enforcement Division at Head Office by e-mail [self-assessmentinfo@psira.co.za](mailto:self-assessmentinfo@psira.co.za).

Yours faithfully



**Mr. Manabela Chauke**  
**Director: PSIRA**  
**Date**